

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371'(c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/814,810

03/31/2004

Randall W. Davis

10143

27015 CHARLES LOUIS THOEMING 1990 N. CALIFORNIA BLVD., SUITE 720 WALNUT CREEK, CA 94596



CONFIRMATION NO. 5294
FORMALITIES LETTER
\*OC000000013344241\*

Date Mailed: 07/27/2004

# NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

## Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 06/22/2004 to the Notice to File Missing Parts (Notice) mailed 06/14/2004 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application. Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 was not received.

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$65 for a Small Entity

\$65 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

08/06/2004 BABRAHA1 00000021 10814810

01 FC:2051

65.00 OP

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/21 (02-04) 06. OMB 0651-0031

Approved for use through 07/31/2006

Whiter the Paperwork Reduction Act of 1995, no person			
	Application Number	10/814,810	
TRANSMITTAL	Filing Date	03/31/2003	
FORM	First Named Inventor	RANDALL W. DAVIS	
(to be used for all correspondence after initial filing)	Art Unit	Not Yet Assigned	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission 10	Attorney Docket Number	10143	
ENC	LOSURES (Check all tha	t apply)	
Fee Transmittal Form	Drawing(s)	After Allowance communication to Technology Center (TC)	
	Licensing-related Papers	Appeal Communication to Board	
The Atlached	Petition	of Appeals and Interferences Appeal Communication to TC	
, and the same of	Petition to Convert to a	(Appeal Notice, Brief, Reply Brief)	
	Provisional Application Power of Attorney, Revocation	Proprietary Information	
	Change of Correspondence Addr		
Extension of Time Request	Terminal Disclaimer	Other Enclosure(s) (please Identify below):	
Express Abandonment Request Request for Refund			
	CD, Number of CD(s)		
Certified Copy of Priority Document(s)  Certified Copy of Priority 1) Not	rks ice of Incomplete Reply; and 2)R	eceint Postcard	
Response to Missing Parts/ Incomplete Application			
Response to Missing Parts			
under 37 CFR 1.52 or 1.53			
SIGNATURE	NE ARRI ICANT ATTORN	EV OD ACENT	
Firm CHARLES L. THOEMING/Bielen, L	OF APPLICANT, ATTORN	ET, OR AGENT	
or Individual name	ampe & Thoening		
Signature drawlers	Men	AP	
Date 08/02/2004	1,,,,,		
	CATE OF TRANSMISSION		
I hereby certify that this correspondence is being facsi sufficient postage as first class mail in an envelope ad the date shown below.	mile transmitted to the USPTO or dressed to: Commissioner for Pa	deposited with the United States Postal Service with tents, P.O. Box 1450, Alexandria, VA 22313-1450 on	
Typed or printed name CHARLES L. THOEMING			
Signature ctrane	~ Muse	Date 08/02/2004	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Randall W. Davis

Application No.: 10/814,810 Group No.: 1638

Filed: 03/31/2004 Examiner: Not Yet Assigned

For: Apparatus and Methods for Isolating Bioreactive Materials on a

Microarray Substrate Surface

#### RESPONSE TO NOTICE OF INCOMPLETE RESPONSE

MAIL STOP MISSING PARTS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice of Incomplete Response mailed 07/27/2004, please consider applicant's position as set forth below.

#### Enclosures:

- 1) PTO Petition for One Month Extension of Time (37 CFR 1.17(a)(1)
   [w/fee];
- 2) PTO Fee Transmittal [original + one (1) copy] for fees for late filing fee (\$65) and one month extension of time (\$55);
- 3) Copy of Notice of Incomplete Reply;
- 4) PTO-2038;
- 5) PTO/SB/21 Transmittal; and
- 6) PTO Receipt Postcard.

## Response:

The enclosed fees and request for extension of time are timely filed.

\ \ \ \ \

# Conclusion:

For all the reasons advanced above, Applicant respectfully submits that the application is now complete and is in condition for assignment to a Patent Examiner in Art Group 1638, and that action is earnestly solicited.

Dated: August 2, 2004.

Respectfully submitted,

Charles L. Thoeming Registration No. 43,951

BIELEN, LAMPE & THOEMING, P.A. 1990 North California Blvd. Suite 720 Walnut Creek, CA 94596 (925)937.1515 (925)937.1529 Facsimile

# Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: MAIL STOP MISSING PARTS, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on August 2, 2004.

Charles L. Thoeming, Registered Representative of Applicant

Signature

August 2, 2004

Date of Signature

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
o a collection of information unless it displays a valid OMB control number. hider the Paperwork Reduction Act of 1995, no persons are required to re

FEE	TR	AN	SMI	TTA	L
	for	FY	200	4	

Effective 10/01/2003. Patent fees are subject to annual revision.

✓ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 120	J.00
----------	------

spond to a conscitor or mic	Thation unices it displays a valid of the control notified.			
Co	Complete if Known			
Application Number	10/814,810			
Filing Date	03/31/2004			
First Named Inventor	RANDALL W. DAVIS			
Examiner Name				
Art Unit				
Attorney Docket No.	10143			

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)				
Check Credit card Money Other None	3. ADDITIONAL FEES					
Deposit Account:	<u>Large</u>	Entity	Small	Entity		
Deposit Deposit	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number	1051	130	2051	• • •	Surcharge - late filing fee or oath	65.00
Deposit Account	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name	1053	130	1053	130	Non-English specification	
The Director is authorized to: (check all that apply)		2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments  Charge any additional fee(s) or any underpayment of fee(s)		920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee	1905	1,840*	1005	1 040*	Requesting publication of SIR after	
to the above-identified deposit account.	1005	1,040	1003	1,040	Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	55.00
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee 0.00	1255	2,010	2255	1,005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$)	1452	110	2452	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453	1,330	2453	665	Petition to revive - unintentional	
Fee from		1,330	2501		Utility issue fee (or reissue)	
Total Claims Extra Claims below Fee Paid  -20** = 0 x = 0.00	1502	480	2502		Design issue fee	
Independent 2**- 6 V	1503	640	2503		Plant issue fee	
Claims - 3 = 0	1460	130	1460		Petitions to the Commissioner	
Large Entity   Small Entity	1807	50	1807	7 50	Processing fee under 37 CFR 1.17(q)	
Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$)   Code (\$)   1202   18   2202   9   Claims in excess of 20	8021	40	802	1 40	Recording each patent assignment per property (times number of properties)	
1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be	
1204 86 2204 43 ** Reissue independent claims					examined (37 CFR 1.129(b))	
over original patent	1801	770	2801		Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 0.00		Other fee (specify)				
**or number previously paid, if greater; For Reissues, see above	*Redu	ced by	Basic I	Filing F	ee Paid SUBTOTAL (3) (\$) 120.0	00

SUBMITTED BY (Complete (if applicable)) Registration No. CHARLES L. THOEMING Name (Print/Type) 43,951 Telephone 925.937.1515 Date 08/02/2004 Signature

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1/14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.